

Approximation of Extremal Functions in H^p by an Iterative Method¹

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Communicated by Oved Shisha

Received November 3, 1970

DEDICATED TO PROFESSOR J. L. WALSH ON THE OCCASION OF HIS 75TH BIRTHDAY

For $L^* = \{f: f \in H^p; f(z_k) = w_k, k = 1, 2, \dots, m, |z_k| < 1, \text{ and } |w_k| < 1\}$, Macintyre, Rogosinski, and Shapiro showed that the function f^* of L^* which minimizes

$$\|f\|_p = \left\{ \int_{|z|=1} |f(z)|^p |dz| \right\}^{1/p}$$

is of the form

$$C \prod_{i=1}^K [(z - c_i)/(1 - \bar{c}_i z)] \prod_{i=1}^{m-1} (1 - \bar{c}_i z)^{2/p} / \prod_{j=1}^m (1 - \bar{z}_j z)^{2/p},$$

where $|c_i| < 1$ and $K \leq m - 1$. The present paper suggests approximation of f^* by an iterative method using polynomials $P_n(z)$ of degree $m - 1$. A corresponding sequence of functions (f_n) is defined in such a way that, under appropriate hypotheses, (f_n) converges to f^* . To define f_n from f_{n-1} , an adjustment is made in the values w_{kn} to which the polynomial P_n is required to interpolate at the fixed points z_k . The method appears to be appropriate for approximation of a function which is of the same form as f^* and assumes the given values w_k at the designated points z_k . By Theorem 1, such a function is f^* . A Computer Science student at Purdue is attempting to program the procedure.¹

For given $p, 1 \leq p \leq \infty, H^p = \{f: f \text{ is analytic for } |z| < 1, \text{ and } \|f(rz)\|_p \text{ is bounded for } 0 < r < 1\}$. On $|z| = 1, f$ is defined almost everywhere as $\lim_{r \rightarrow 1^-} f(re^{i\theta})$ where $z = re^{i\theta}$. Then $H^p \subset L^p$, with the norm

¹ A sequel to this paper "Determination of Extremal Functions in H^p by a Fortran Program," to appear in *SIAM J. Numer. Anal.*, reports on success of the Fortran Program for this method in all cases tried, yielding 60 extremal functions.

$\|f\|_p = \{\int_{|z|=1} |f|^p |dz|\}^{1/p} < \infty$. The closed convex subset L^* of L^p has an essentially unique element f^* such that $\|f^*\|_p \leq \|f\|_p, f \in H^p$. In this paper f^* is referred to as the *minimizing function* or *element* of L^* . In the definition of L^* , some of the z_k are allowed to coincide, with the understanding that appropriate w_k are to be regarded as values assigned to derivatives at those z_k .

1. EQUIVALENT PROBLEMS

We let Q be the polynomial of degree $m - 1$ such that $Q(z_j) = w_j, j = 1, \dots, m$, and let B denote the Blaschke product

$$B(z) = \prod_{j=1}^M (z - z_j)/(1 - \bar{z}_j z),$$

where $M \leq m - 1$.

If $h \in H^p$, then $[Q(z) + h(z) \prod_{j=1}^m (z - z_j)/(1 - \bar{z}_j z)] \in L^*$. On the other hand, if $f \in L^*$, then $(f - Q)/B \in H^p$. For reference we state

LEMMA 1.1. f^* minimizes $\|f\|_p, f \in L^*$, if and only if $\|(-Q/B) - h\|_p, h \in H^p$, is minimized by $h^* = (+f^* - Q)/B$.

The solution of any one of the following four problems yields a solution to the others. (See the references of Rogosinski, Macintyre, and Shapiro.) We set $(1/p) + (1/q) = 1$.

I. Determine $f^* \in L^*$ such that

$$\|f^*\|_p \leq \|f\|_p, \quad f \in L^*.$$

II. Determine $h^* \in H^p$ such that

$$\|(-Q/B) - h^*\|_p \leq \|(-Q/B) - h\|_p, \quad h \in H^p.$$

III. Determine $g^* \in H^q$ such that

$$\left| (1/2\pi i) \int [(-Q(z)/B(z))] g(z) dz \right|$$

is maximal, for $g \in H^q$, and $\|g\|^q = 1$.

IV. Determine C and $c_i, i = 1, \dots, m - 1, |c_i| \leq 1$, such that

$$C[\prod_{k=1}^m (z_k - c_i)/(1 - \bar{c}_i z_k)] \prod_{i=1}^{m-1} (1 - \bar{c}_i z_k)^{2/p} / \prod_{j=1}^m (1 - \bar{z}_j z_k)^{2/p} = w_k, \\ k = 1, \dots, m,$$

where the product \prod is over some subset of $\{1, 2, \dots, m - 1\}$.

According to the above mentioned references, answers to the above problems are known to be of the following forms thus related:

$$\begin{aligned}
 & [(-Q(z)/B(z)) - h^*(z)] \prod_{j=1}^m (z - z_j)/(1 - \bar{z}_j z) \\
 & = -f^*(z) = C\Pi'[(z - c_i)/(1 - \bar{c}_i z)] \prod_{i=1}^{m-1} (1 - \bar{c}_i z)^{2/p} / \prod_{j=1}^m (1 - \bar{z}_j z)^{2/p}.
 \end{aligned}$$

For Problem III,

$$g^*(z) = A\Pi''[(z - c_i)/(1 - \bar{c}_i z)] \prod_{i=1}^{m-1} (1 - \bar{c}_i z)^{2/q} / \prod_{j=1}^m (1 - \bar{z}_j z)^{2/q},$$

where Π' and Π'' are complementary products of the Blaschke product $\prod_{i=1}^{m-1} (z - c_i)/(1 - \bar{c}_i z)$, that is, each of the factors $(z - c_i)/(1 - \bar{c}_i z)$ is in exactly one of the products.

In this paper we describe a method of obtaining a sequence of functions (f_n) , containing a subsequence (f_{n_k}) which converges to $f^*(z)$, the solution of Problem I, provided $\lim_{n \rightarrow \infty} f_{n_k}(z_k) = w_k, k = 1, 2, \dots, m$.

LEMMA 1.2. *Let*

$$\tilde{f} = D \prod_{i=1}^N [(z - d_i)/(1 - \bar{d}_i z)] \prod_{i=1}^{m-1} (1 - \bar{d}_i z)^{2/p} / \prod_{j=1}^m (1 - \bar{z}_j z)^{2/p},$$

with $|d_i| < 1$, and let $w_j = \tilde{f}(z_j), j = 1, \dots, m$, where D is chosen so that $|w_j| < 1$. Let f^* be the minimizing function for L^* determined by the z_j and the corresponding w_j . Then $\tilde{f} \equiv f^*$.

Proof. Define

$$\begin{aligned}
 \tilde{g}(z) & = \left(1 / \left\| \left[\prod_{i=1}^{m-1} (1 - \bar{d}_i z) / \prod_{j=1}^m (1 - \bar{z}_j z) \right]^{2/q} \right\|_q \prod_{i=N+1}^{m-1} (z - d_i)/(1 - \bar{d}_i z) \right) \\
 & \times \left(\prod_{i=1}^{m-1} (1 - \bar{d}_i z)^{2/q} / \prod_{j=1}^m (1 - \bar{z}_j z)^{2/q} \right),
 \end{aligned}$$

and let $\lambda(z) = z\tilde{g}(z)[\tilde{f}(z) \prod_{j=1}^m (1 - \bar{z}_j z)/(z - z_j)]$. Then

$$\lambda(z) = C \left[z \prod_{i=1}^{m-1} (z - d_i) \prod_{i=1}^{m-1} (1 - \bar{d}_i z) \right] / \left[\prod_{j=1}^m (z - z_j) \prod_{j=1}^m (1 - \bar{z}_j z) \right].$$

Noting that $\lambda(1/\bar{z}) = \overline{\lambda(z)}$, we obtain that (1) $\lambda(z)$ is real on $|z| = 1$, and (2) $|\tilde{g}(z)|^{1/p}$ and $|\tilde{f}(z) \Pi[(1 - \bar{z}_j z)/(z - z_j)]|^{1/q}$ are proportional almost

everywhere on $|z| = 1$. Now (1) and (2) imply that equality holds in Hölder's Inequality [7, pp. 282-3]; hence

$$\left| \int_{z=e^{i\theta}} \tilde{g}(z)\tilde{f}(z) \prod_{j=1}^m [(1 - \bar{z}_j z)/(z - z_j)] dz \right| = \|\tilde{g}\|_q \|\tilde{f}\|_p = \|\tilde{f}\|_p.$$

We have

$$\|f^*\|_p = \max_{\|g\|_q=1} \left| \int g[\tilde{f}/B] dz \right| \geq \left| \int \tilde{g}[\tilde{f}/B] dz \right| = \|\tilde{f}\|_p.$$

(For the first equality, see [12, Theorem 1].) Since f^* is minimal for L^* , this yields $\|\tilde{f}\|_p = \|f^*\|_p$. By the uniqueness of the minimizing element for L^* , $\tilde{f} = f^*$.

As an immediate consequence of the lemma, we have

THEOREM 1. *If a function f of the form*

$$C \prod_{i=1}^N [(z - c_i)/(1 - \bar{c}_i z)] \prod_{i=1}^{m-1} (1 - \bar{c}_i z)^{2/p} / \prod_{j=1}^m (1 - \bar{z}_j z)^{2/p},$$

for some $N \leq m - 1$, assumes the assigned values w_j at the corresponding z_j , then f is the minimizing function f^* for L^* .

2. DEVELOPMENT OF AN ITERATIVE METHOD FOR PROBLEM IV

The polynomial P_1 of degree $m - 1$ satisfying $P_1(z_k) = u_{k1} = w_k d_k^{2/p}$, where $d_k = \prod_{j=1}^m (1 - \bar{z}_j z_k)$, is uniquely determined; in fact, by the Lagrange interpolation formula, $P_1(z_k) = \omega(z) \sum_{k=1}^m (u_{k1}/\omega'(z_k))/(z - z_k)$, where $\omega(z) = \prod_{j=1}^m (z - z_j)$. Now $P_1(z)$ may be written in the form

$$C_1 \prod_{i=1}^{K_1} ((z - c_{i1})/(1 - \bar{c}_{i1} z)) \prod_{i=1}^{m-1} (1 - \bar{c}_{i1} z),$$

where $c_{11}, c_{21}, \dots, c_{K_1 1}$ are those zeros of P_1 lying in $|z| \leq 1$. We let $B_{K_1 1}^{(c)} = B_1^{(c)}$ denote $\prod_{i=1}^{K_1} (z - c_{i1})/(1 - \bar{c}_{i1} z)$. For

$$f_1(z) = C_1 B_{K_1 1}^{(c)}(z) \prod_{i=1}^{m-1} (1 - \bar{c}_{i1} z)^{2/p} / \prod_{j=1}^m (1 - \bar{z}_j z)^{2/p},$$

we have $f_1(z_k) = w_k \prod_{i=1}^{m-1} (1 - \bar{c}_{i1} z_k)^{(2/p)-1}$.

Subsequent steps are outlined in the following paragraphs. After new values u_{k2} are substituted for the u_{k1} ($= w_k d_k^{2/p}$, above), the procedure of the preceding paragraph is repeated, using a polynomial P_2 , of the same degree $m - 1$ as P_1 , to define a corresponding $f_2(z)$.

For P_2 , the polynomial of degree $m - 1$ satisfying $P_2(z_k) = u_{k2} = w_k d_k^{2/p} / \prod_{i=1}^{m-1} (1 - \bar{c}_{i1} z_k)^{(2/p)-1}$, we write

$$P_2(z) = C_2 B_2^{(c)}(z) \prod_{i=1}^{m-1} (1 - \bar{c}_{i2} z)$$

and define

$$f_2(z) = C_2 B_2^{(c)}(z) \prod_{i=1}^{m-1} (1 - \bar{c}_{i2} z)^{2/p} / \prod_{j=1}^m (1 - \bar{z}_j z)^{2/p}.$$

Then

$$f_2(z_k) = w_k \left[\prod_{i=1}^{m-1} (1 - \bar{c}_{i2} z_k) / \prod_{i=1}^{m-1} (1 - \bar{c}_{i1} z_k) \right]^{(2/p)-1}.$$

Inductively, we define

$$f_n(z) = C_n B_n^{(c)}(z) \prod_{i=1}^{m-1} (1 - \bar{c}_{in} z)^{2/p} / \prod_{j=1}^m (1 - \bar{z}_j z)^{2/p},$$

where

$$B_n^{(c)}(z) = \prod_{i=1}^{K_n} [(z - c_{in}) / (1 - \bar{c}_{in} z)]$$

is determined by the polynomial

$$P_n(z) = C_n \prod_{i=1}^{K_n} [(z - c_{in}) / (1 - \bar{c}_{in} z)] \prod_{i=1}^{m-1} (1 - \bar{c}_{in} z)$$

satisfying

$$P_n(z_k) = w_k d_k^{2/p} / \left[\prod_{i=1}^{m-1} (1 - \bar{c}_{i,n-1} z_k) \right]^{(2/p)-1}.$$

Then

$$f_n(z_k) = w_k \left[\prod_{i=1}^{m-1} (1 - \bar{c}_{in} z_k) / \prod_{i=1}^{m-1} (1 - \bar{c}_{i,n-1} z_k) \right]^{(2/p)-1}.$$

3. SUFFICIENT CONDITIONS FOR THE ITERATIVE METHOD TO YIELD THE SOLUTION OF PROBLEM IV

If $(c_{in})_{n=1}^\infty$, $i = 1, \dots, m - 1$, and $(C_n)_{n=1}^\infty$ converge, we denote the respective limits by c_i and C ; however, if a convergent subsequence is being con-

sidered, c_i and C denote the respective limits of the subsequences in question, $(c_{in_v})_{v=1}^\infty$ and $(C_{n_v})_{v=1}^\infty$. We define ρ as $\min |(1/\bar{c}_i)|$, where the minimum is over those i such that $|c_i| < 1$.

We note that each of the sequences

$$\left(\left[\prod_{i=1}^{m-1} (1 - \bar{c}_{in} z_k) / \prod_{i=1}^{m-1} (1 - \bar{c}_{i,n-1} z_k) \right]^{(2/p)-1} \right)_n, \quad k = 1, \dots, m,$$

is bounded by $[(1 + R)/(1 - R)]^{(m-1)((2/p)-1)}$, where $R = \max_j |z_j|$, and each of the sequences $(c_{in})_{n=1}^\infty$, $i = 1, \dots, m - 1$, is bounded by 1. Hence, there exists an $(n_v)_{v=1}^\infty$ such that the corresponding subsequence of each of the above sequences converges. Also each subsequence $(B_{n_v}^{(c)}(z))_v$ of $(B_n^{(c)}(z))_n$ is uniformly bounded on compact subsets of the disk $|z| < \rho$. Applying the Ascoli-Arzelà Theorem, we obtain

LEMMA 2.1. *There exists a subsequence (n_v) of (n) such that, as v tends to infinity, each of the corresponding subsequences of the sequences designated in the preceding paragraph converges, also such that*

$$B_{n_v}^{(c)}(z) = \prod_{i=1}^{K_n} (z - c_{i,n_v}) / (1 - \bar{c}_{in_v} z)$$

converges as $v \rightarrow \infty$, uniformly on compact subsets of the disk $|z| < \rho$.

LEMMA 2.2. *If some subsequence of $(f_n(z))$ converges at some point z_0 interior to $|z| = \rho$, then some subsequence (f_{n_v}) of (f_n) converges to a function of the form*

$$\tilde{f}(z) = C \prod_{i=1}^K [(z - c_i) / (1 - \bar{c}_i z)] \prod_{i=1}^{m-1} (1 - \bar{c}_i z)^{2/p} / \prod_{j=1}^m (1 - \bar{z}_j z)^{2/p}.$$

The convergence is uniform on compact subsets of the disk $|z| < \rho$, and

$$\tilde{f}(z_k) = \lim_{v \rightarrow \infty} \left[\prod_i (1 - \bar{c}_{i,n_v} z_k)^{(2/p)-1} / \prod_i (1 - \bar{c}_{i,n_v-1} z_k)^{(2/p)-1} \right] w_k.$$

Proof. There exists a subsequence (n_v) of (n) such that each of the subsequences of Lemma 2.1 converges. Thus, a convergent subsequence of $(f_n(z_0))$ is determined, and the corresponding $(C_{n_v})_{v=1}^\infty$ converges.

LEMMA 2.3. *If $\prod_{i=1}^{m-1} (1 - \bar{c}_{in_v} z_k) / \prod_{i=1}^{m-1} (1 - \bar{c}_{i,n_v-1} z_k)$ converges to 1 for $k = 1, 2, \dots, m$, then some subsequence of $(f_n(z))$ converges to $f^*(z)$, uniformly on compact subsets of the disk $|z| < \rho$.*

Proof. The hypothesis, combined with Lemma 2.2, yields that some subsequence converges to

$$C \left[\prod_{i=1}^K (z - c_i)/(1 - \bar{c}_i z) \right] \left[\prod_{i=1}^{m-1} (1 - \bar{c}_i z) \right]^{2/p} / \left[\prod_{j=1}^m (1 - \bar{z}_j z) \right]^{2/p},$$

which takes on the assigned values w_k at the designated z_k . By Theorem 1, this is just $f^*(z)$.

THEOREM 2. *If $\lim_{n \rightarrow \infty} f_n(z_k) = w_k$, $k = 1, \dots, m$, then $\lim_{n \rightarrow \infty} f_n(z) = f^*(z)$.*

Proof. Since

$$\lim_{n \rightarrow \infty} f_n(z_k) = w_k \lim_{n \rightarrow \infty} \left[\prod_{i=1}^{m-1} (1 - \bar{c}_{in} z_k) / \prod_{i=1}^{m-1} (1 - \bar{c}_{i, n-1} z_k) \right]^{2/p},$$

evidently, $\lim_{n \rightarrow \infty} \left[\prod_{i=1}^{m-1} (1 - \bar{c}_{in} z_k) / \prod_{i=1}^{m-1} (1 - \bar{c}_{i, n-1} z_k) \right] = 1$. Lemma 2.3 yields the conclusion.

THEOREM 3. *If $\lim_{n \rightarrow \infty} c_{in}$ exists for $i = 1, \dots, m - 1$, then $\lim_{n \rightarrow \infty} f_n(z) = f^*(z)$.*

Proof. Since

$$\lim_{n \rightarrow \infty} f_n(z_k) = w_k \lim_{n \rightarrow \infty} \left[\prod_{i=1}^{m-1} (1 - \bar{c}_{in} z_k) / \prod_{i=1}^{m-1} (1 - \bar{c}_{i, n-1} z_k) \right] = w_k,$$

the hypothesis of the preceding theorem is satisfied.

4. DISCUSSION OF METHOD

In the case $p = 2$, the function f_1 obtained in the first step of the procedure outlined above is actually f^* [14, pp. 147, 227]. Moreover, $P_1(z)$, the polynomial of degree $m - 1$ used there, actually minimizes

$$\int_{|z|=1} \left| f^*(z) - P(z) / \left[\prod_{j=1}^m (1 - \bar{z}_j z) \right] \right|^2 |dz|, \quad P \in H_2. \quad (*)$$

For, with $|1/\prod_{j=1}^m (1 - \bar{z}_j z)|$ as the weight function [14, Theorem 2, p. 147], the essentially unique function P of H_2 which minimizes (*) is the polynomial

$P_1(z)$ of degree $m - 1$ which interpolates to $f^*(z) \prod_{j=1}^m (1 - \bar{z}_j z)$ at the z_k . We note that P_n minimizes

$$\int_{|z|=1} \left| \left[f^*(z) \prod_{i=1}^{m-1} (1 - \bar{c}_{in} z) / \prod_{i=1}^{m-1} (1 - \bar{c}_{i,n-1} z) \right] - P(z) / \prod_{j=1}^m (1 - \bar{z}_j z) \right|^2 |dz|,$$

$P \in H_2.$

It is of some interest to reverse our procedure, that is, to begin with the function f^* and, by an analogous procedure to attempt to obtain the polynomial Q of degree $m - 1$ satisfying $Q(z_j) = w_j, j = 1, \dots, m$.

Let $z_k, w_k,$ and L^* be as in Section 1. Let

$$f^*(z) = g_1(z) = A_1 \prod_{i=1}^{M_1} [(z - a_{i1}) / (1 - \bar{a}_{i1} z)] \left[\prod_{i=1}^{m-1} (1 - \bar{a}_{i1} z) \right]^{2/p} / \left[\prod_{j=1}^m (1 - \bar{z}_j z) \right]^{2/p},$$

where $|a_{i1}| < 1, i = 1, \dots, m - 1,$ and $g_1(z_k) = w_k, k = 1, \dots, m.$ Define $Q_1(z) = A_1 \prod_{i=1}^{M_1} (z - a_{i1}) \prod_{i=M_1+1}^{m-1} (1 - \bar{a}_{i1} z)$ and set $u_k = a_k^{2/p} w_k.$ Then $Q_1(z_k) = u_k \prod_{i=1}^{m-1} (1 - \bar{a}_{i1} z_k)^{1-(2/p)}.$

Let Q_2 be the unique polynomial of degree $m - 1$ satisfying

$$Q_2(z_k) = u_k \prod_{i=1}^{m-1} (1 - \bar{a}_{i1} z_k),$$

say,

$$Q_2(z) = A_2 B_2^{(a)}(z) \prod_{i=1}^{m-1} (1 - \bar{a}_{i2} z).$$

Then, for

$$\begin{aligned} g_2(z) &= Q_2(z) \left[\prod_{i=1}^{m-1} (1 - \bar{a}_{i2} z) \right]^{(2/p)-1} / \prod_{j=1}^m (1 - \bar{z}_j z)^{2/p} \\ &= A_2 B_2^{(a)}(z) \prod_{i=1}^{m-1} (1 - \bar{a}_{i2} z)^{2/p} / \prod_{j=1}^m (1 - \bar{z}_j z)^{2/p}, \end{aligned}$$

we have

$$g_2(z_k) = w_k \prod_{i=1}^{m-1} (1 - \bar{a}_{i2} z_k)^{(2/p)-1} \prod_{i=1}^{m-1} (1 - \bar{a}_{i1} z_k).$$

Let Q_n be the polynomial of degree $m - 1$ satisfying

$$Q_n(z_k) = u_k \prod_{i=1}^{m-1} (1 - \bar{a}_{i,n-1} z_k) / \prod_{i=1}^{m-1} (1 - \bar{a}_{i,n-2} z_k),$$

say

$$Q_n(z) = A_n B_n^{(a)}(z) \prod_{i=1}^{m-1} (1 - \bar{a}_{in}z).$$

For

$$\begin{aligned} g_n(z) &= Q_n(z) \prod_{i=1}^{m-1} (1 - \bar{a}_{in}z)^{(2/p)-1} / \prod_{j=1}^m (1 - \bar{z}_jz)^{2/p} \\ &= A_n B_n^{(a)}(z) \prod_{i=1}^{m-1} (1 - \bar{a}_{in}z)^{2/p} / \prod_{j=1}^m (1 - \bar{z}_jz)^{2/p}, \end{aligned}$$

we have

$$g_n(z_k) = w_k \prod_{i=1}^{m-1} (1 - \bar{a}_{in}z_k)^{(2/p)-1} \prod_{i=1}^{m-1} (1 - \bar{a}_{i,n-1}z_k)$$

THEOREM 4. *Suppose, for $n = n_\nu$,*

$$\left(\prod_{i=1}^{m-1} (1 - \bar{a}_{in}z_k) / \prod_{i=1}^{m-1} (1 - \bar{a}_{i,n-1}z_k) \right)_{n=1}^\infty$$

converges to 1, for $k = 1, \dots, m$. Let $a_i = \lim_{\nu \rightarrow \infty} a_{in_\nu} = \lim_{\nu \rightarrow \infty} a_{i,n_\nu-1}$. Then the corresponding $(Q_{n_\nu}(z))_{\nu=1}^\infty$ converges to $Q(z)$, the unique polynomial of degree $m - 1$ satisfying $Q(z_k) = w_k \prod (1 - \bar{z}_jz_k)^{2/p}$, $k = 1, \dots, m$. Also, the subsequence $(g_{n_\nu}(z))$ converges to

$$\tilde{g}(z) = A \prod_{i=1}^M [(z - a_i)/(1 - \bar{a}_iz)] \left[\prod_{i=1}^{m-1} (1 - \bar{a}_iz) \right]^{2/p} / \left[\prod_{j=1}^m (1 - z_jz) \right]^{2/p},$$

where $\tilde{g}(z_k) = w_k \prod_{i=1}^{m-1} (1 - \bar{a}_iz_k)^{(2/p)-1}$.

We note that, under the given hypothesis, the sequence of functions $(Q_{n_\nu}(z)/\prod(1 - \bar{z}_jz)^{2/p})_{\nu=1}^\infty$ converges to the best approximant in H_2 (in the L_2 -sense) to $f^*(z)$.

5. POSSIBLE ALTERNATIVE PROCEDURES

In practice, the author recommends a preliminary trial step. If the zeros of f^* all lie exterior to $|z| = 1$, the function f^* is obtained immediately. Let P_1 be the polynomial of degree $m - 1$ satisfying $P_1(z_k) = w_k^{p/2}d_k$. If

all the zeros of $P_1(z)$ are exterior to $|z| = 1$, we may write $P_1(z)$ in the form $C \prod_{i=1}^{m-1} (1 - \bar{c}_i z)$. Then, for

$$\tilde{f}(z) = C^{2/p} \left[\prod_{i=1}^{m-1} (1 - \bar{c}_i z) \right]^{2/p} \left[\prod_{j=1}^m (1 - \bar{z}_j z) \right]^{2/p},$$

we have $\tilde{f}(z_k) = w_k, k = 1, \dots, m$. By Theorem 1, $f^* = \tilde{f}$.

We note that, if $P_1(z) = a_0 + a_1 z + \dots + a_{m-1} z^{m-1}$, with $a_0 > a_1 > \dots > a_{m-1} > 0$, then all zeros of $P_1(z)$ lie exterior to $|z| = 1$ [6, p. 42, problem 2.1, Enestrom's Theorem]. If, however, $0 < a_0 < a_1 < \dots < a_{m-1}$, then, by an application of Enestrom's Theorem [6, p. 224, problem 12], all zeros of P_n lie interior to $|z| = 1$. In case only a few of the zeros of f^* lie interior to $|z| = 1$, especially if p is near 2, it might be better to require, in the first step, that $P_1(z_k) = w_k^{p/2} d_k$. Then

$$[P_1(z)]^{2/p} = C^{2/p} \left[\prod_{i=1}^M (z - c_i) \right]^{2/p} \left[\prod_{i=M+1}^{m-1} (1 - \bar{c}_i z) \right]^{2/p}.$$

For

$$f_1(z) = C^{2/p} \prod_{i=1}^M [(z - c_i)/(1 - \bar{c}_i z)] \prod_{i=1}^{m-1} (1 - \bar{c}_i z)^{2/p} / \prod_{j=1}^m (1 - \bar{z}_j z)^{2/p},$$

we have

$$\begin{aligned} f_1(z_k) &= [(P_1(z_k))^{2/p} / d_k^{2/p}] [B^{(c)}(z_k)]^{1-2/p} \\ &= w_k \prod_{i=1}^M [(z_k - c_{i1}) / (1 - \bar{c}_{i1} z_k)]^{1-(2/p)}. \end{aligned}$$

6. SOME RELATED EXTREMAL FUNCTIONS

Given L^* , let $L(f^*) = \{g: g \in H_p \text{ and } g(z_j) = w_j / B^{(c)}(z_j), j = 1, \dots, m\}$ where $B^{(c)}(z)$ is the Blaschke product factor $\prod_i (z - c_i) / (1 - \bar{c}_i z)$ of f^* , extremal for L^* . The following result is immediate.

THEOREM 5. *The extremal function f^* for $L(f^*)$ is just $f^* / B^{(c)}$.*

In the following, $1 \leq p \leq \infty$ and $1 \leq p' \leq \infty$, with p and p' unrelated, except as specified. Suppose $L^* = L_p^*$ is given, and let $L_{p'}^* = \{g: g \in H_{p'}, g(z_j) = w_j^{(p/p')}\}$. Then L_p^* and $L_{p'}^*$ are said to *correspond*.

Corollary 6.1 below indicates that, for any assigned $z_j, j = 1, \dots, m$, with $|z_j| < 1$, there exist corresponding functional values w_j such that, for L_p^* defined as $\{f: f \in H_p \text{ and } f(z_j) = w_j\}$, the extremal f_p^* is known. For, given any set of values u_j , the extremal element f_2^* for L_2^* defined as $\{f: f \in H_2, \text{ and } f(z_j) = u_j, j = 1, \dots, m\}$ is a rational function of the form $B_2(z) r(z)$,

where all the zeros of the rational function $r(z)$ are outside $|z| = 1$, and where $B_2(z)$ is a Blaschke product. Then the extremal function g_2^* for $L_2(f_2^*) = \{g: g \in H_2 \text{ and } g(z_j) = u_j/B_2(z_j), j = 1, \dots, m\}$ is just $r(z)$. Now, with $f(z_j) = w_j = (u_j/B_2(z_j))^{2/p}$, $j = 1, \dots, m$, the extremal element f_p^* for L_p^* is just $[r(z)]^{2/p}$.

THEOREM 6. *Suppose the extremal element g^* for L_p^* is nonvanishing. If $p = np'$, where n is a positive integer, then the extremal element f_p^* for L_p^* corresponding to L_p^* is just $(g^*)^{1/n}$.*

Proof. We have $f^{*p'/p'} \in L_p^*$. Since g^* is nonvanishing, evidently $g^{*(p'/p)} \in L_p^*$. Then

$$\|f_p^*\|_p^p \leq \|g_p^{*(p'/p)}\|_p^p \leq \|f_p^{*(p'/p')}\|_{p'}^{p'} = \|f_p^*\|_p^p,$$

yielding $\|g^{*p'/p}\|_{p'}^{p'} = \|f^*\|_p^p$.

COROLLARY 6.1. *If p is an even positive integer and if the extremal element g^* for L_2^* is nonvanishing, then the extremal element f_p^* for the corresponding L_p^* is $g_2^{*2/p}$.*

The proof of the preceding theorem proves also

THEOREM 7. *Suppose L_p^* and L_p^* correspond and that the respective extremal elements are f_p^* and g_p^* . If neither f^* nor g^* vanishes for $|z| < 1$, then $f^* = g^{*(p'/p)}$.*

Theorem 1 insures that examples may be constructed for which the minimizing functions satisfy the hypothesis of Theorem 6 or Theorem 7.

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